

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
00-17

In Re Application Of: Yuan P. Li et al.

Serial No.  
10/039,242

Filing Date  
12/31/01

Examiner  
Unassigned

Group Art Unit  
2661

Invention: **WIDE PASSBAND OPTICAL INTERLEAVER**

COPY OF PAPERS  
ORIGINALLY FILED

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

02/06/02

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ not required verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

Recordation Form Cover Sheet, Patents Only  
Assignment in favor WaveSplitter Technologies, Inc.  
Transmittal of Information Disclosure Statement  
Information Disclosure Citation, Form PTO-1449  
1 Copy of each of 2 US Patents  
Petition Under 37 CFR 1.47(a), and accompanying proof of the pertinent facts

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**Filing Date Granted (PTO-1533) (Small Entity)**

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In Re Application Of: Yuan P. Li et al.

AUG 13 2002

PATENT & TRADEMARK OFFICE

Serial No.

10/039,242

Filing Date

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2661

Invention: **WIDE PASSBAND OPTICAL INTERLEAVER**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$370.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 2 \_\_\_\_\_

☒ Total number of claims = 28 \$72.00

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☒ Petition and fee for filing by other than all the inventors or a person not the inventor \$130.00

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$637.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☒ Four months ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \$720.00

Total fees due \$1,357.00

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533) (Small Entity)</b>	<b>Docket No.</b> <b>00-17</b>
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In Re Application Of: **Yuan P. Li et al.**

<b>Serial No.</b> <b>10/039,242</b>	<b>Filing Date</b> <b>12/31/01</b>	<b>Examiner</b> <b>Unassigned</b>	<b>Group Art Unit</b> <b>2661</b>
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Invention: **WIDE PASSBAND OPTICAL INTERLEAVER**

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**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Attention: Manager, Application Branch**

The fee of **\$1,357.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1047  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1047  
A duplicate copy of this sheet is enclosed.

*Karin L. Williams*  
Signature

Dated:

8/6/02

**Karin L. Williams Reg. No. 36,721**  
**Mayer Fortkort & Williams, PC**  
**251 North Avenue West, 2nd Floor**  
**Westfield, NJ 07090**

**Tel.: 908-518-7700**

I certify that this document and fee is being deposited on 8/6/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Marjorie Scariati*  
Signature of Person Mailing Correspondence

**Marjorie Scariati**

Typed or Printed Name of Person Mailing Correspondence

cc:

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/039,242	12/31/2001	Yuan P. Li	00-17

27774  
MAYER, FORTKORT & WILLIAMS, PC  
251 NORTH AVENUE WEST  
2ND FLOOR  
WESTFIELD, NJ 07090

CONFIRMATION NO. 7286

FORMALITIES LETTER



\*OC00000007427531\*

08/16/2002 GWORDF1 00000017 501047 10039242

01 FC:201 370.00 CH  
02 FC:203 72.00 CH  
03 FC:205 65.00 CH

Date Mailed: 02/06/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$144.  
■ \$144 for 8 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1014.

SMALL ENTITY FEES PAID

A copy of this notice MUST be returned with the reply.T. Seeger  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE